

SEP 20 2001

PTO/SB/21 (6-98)

Approved for use through 09/30/2000, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

5

Attorney Docket Number

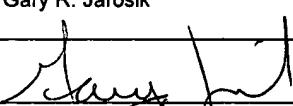
81230.62US3

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## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> - Form 1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> - copies of 12 U.S. patents and publication
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> - return postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

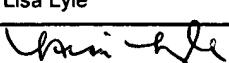
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gary R. Jarosik
Signature	
Date	September 14 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope

addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 17, 2001

Typed or printed name	Lisa Lyle
Signature	
Date	September 17, 2001

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OIR  
SEP 20 2001  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hayes et al. )  
Serial No.: 09/905,432 )  
Filed: July 13, 2001 )  
Title: SYSTEM AND METHOD FOR )  
      USING A HAND HELD DEVICE TO )  
      DISPLAY A READABLE )  
      REPRESENTATION OF AN AUDIO )  
      TRACK )  
                            )  
Examiner: not yet assigned  
Group Art Unit: 2635  
Docket No.: 81230.62US3

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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(1))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.96, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(1), within three months of the filing date of the application; therefore, no fee is required.

In accordance with 37 C.F.R. §1.98(2)(i) and (ii), a copy of each U.S. patent and publication listed on Form 1449 is enclosed herewith.

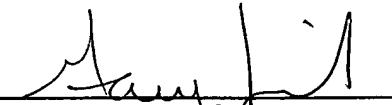
In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

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Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

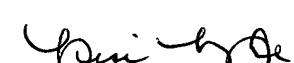
Respectfully submitted,

Date: September 14, 2001

By: 

Gary R. Jarsik, Reg. No. 35,906  
ALTHEIMER & GRAY  
10 S. Wacker Drive/Suite 4000  
Chicago, Illinois 60606  
(312) 715-4000

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this 14-day of September, 2001.

By: 

Name: Lisa Lyle